

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
30 June 2005 (30.06.2005)

PCT

(10) International Publication Number  
**WO 2005/060008 A1**

(51) International Patent Classification<sup>7</sup>: H01L 31/0224,  
31/042

2600 (AU). EVANS, Graeme, Leslie [AU/AU]; 8 Karney St, Kambah, ACT 2902 (AU).

(21) International Application Number:  
PCT/AU2004/001768

(74) Common Representative: SKRYABIN, Igor; Smart Technologies, PO Box 6212, Queanbeyan, NSW 2620 (AU).

(22) International Filing Date:  
17 December 2004 (17.12.2004)

(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
2003906985 18 December 2003 (18.12.2003) AU

(71) Applicant (*for all designated States except US*): SMART TECHNOLOGIES AUSTRALIA PTY LTD [AU/AU]; 11 Aurora Ave, Queanbeyan, NSW 2620 (AU).

(72) Inventors; and

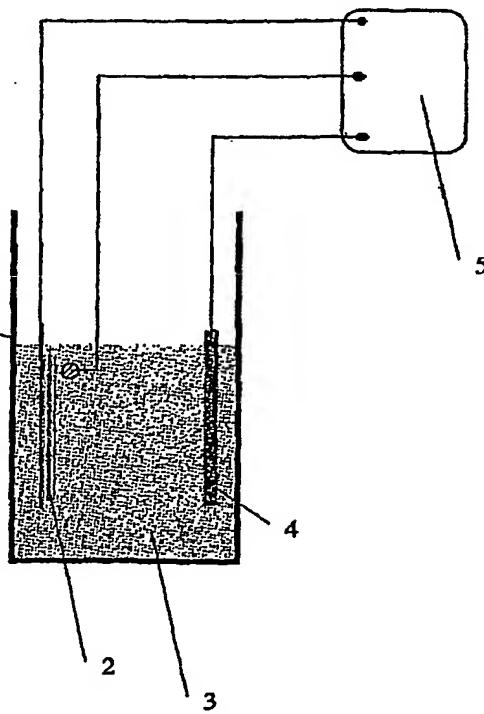
(75) Inventors/Applicants (*for US only*): SKRYABIN, Igor, Lvovich [AU/AU]; 76 Schlich Street, Yarralumla, ACT

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO,

*[Continued on next page]*

(54) Title: METHOD FOR ELECTROLYTIC ENGINEERING OF NANO-PARTICULATE LAYERS

WO 2005/060008 A1



(57) Abstract: A method for manufacturing a nano-particulate electrode for Dye Solar Cells including the steps of providing an electrically conductive substrate, formation of a nanoparticulate layer on the substrate., application of dye to the nanoparticulate layer and an additional step of electrolytic treatment of the nanoparticulate layer in an electrolyte.



SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN,  
GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *with international search report*

10/583121  
IAP20 Rec'd PCT/PTO 16 JUN 2006

## Method for electrolytic engineering of nano-particulate layers

### TECHNICAL FIELD

This invention relates to nano-stuctured materials and 5 their applications; to methods for the production of such materials. More particularly, this invention relates to nano-particulate oxide layers formed on a substrate.

### 10 BACKGROUND TO THE INVENTION

The nanomaterials, in particular- nano-particulate materials and nano-particulate oxides are used in wide range of applications: including but not limited to 15 sensors, batteries, capacitors, photovoltaic cell (e.g. Dye Solar Cells), electrochromic devices, fuel cells and devices for photocatalytic cleavage and purification of water.

20 High commercial potential of Dye Solar Cell technology is achieved through the nano-particulate structure of oxide layer incorporating designed porosity, that warrants high surface area, and, thus, - enhanced ability to adsorb sufficient quantity of dye to effectively capture solar 25 light on the interface between the dye layer and electrolyte.

It has been recognized that surface properties of nano-particles are critical for achieving high performance of 30 devices based on nano-particulate materials.

Modifications of the said properties can be performed by covering each particle by a thin layer of another

-2-

material. The purpose of such coating varies:

- Creating a barrier layer (e.g. junction between two materials with different electronic properties).  
5 Benefits of the barrier layer include creation of internal electrical field, that allows for unidirectional transfer of electrons (diode effect).
- Creating a blocking layer (electrical insulation of all or part of the surface of a particle from  
10 electrolyte or corrosive material).
- Deposition of materials that absorb in the UV - Visual light - IR spectrum
- Electronic shielding of nano-structured oxides (NSO).  
15 Certain materials that reside adjacent to or in the surface of the NSO provide electronic shielding and, thus, prevent undesirable charge transfer through the interface between the surface and an electrolyte. This charge transfer causes leakage current: loss of voltage and undesirable side reactions, which lead to degradation of a device. Preferably the said  
20 Electronic Shielding Materials (ESM) are optically transparent and chemically stable.

Current methods include sol-gel chemistry and several  
25 different vacuum deposition techniques. Each technique is limited as each does not allow for fast and precise deposition and achievement of desirable properties of the layers.

-3-

OBJECTIVE OF THE INVENTION

An objective of the present invention is to provide methods for surface modifications of nano-oxides and to  
5 improve performance of Dye Solar Cells.

SUMMARY OF THE INVENTION

From one aspect, the present invention provides for  
10 formation of complete or incomplete nano-particulate layers on or in the surface of an electrically conductive substrate directly from a colloidal solution by application of negative electrical potential to the substrate and positive electrical potential to a counter electrode. The nano-particles are further interconnected either to materials of the substrate or to each other by either sintering in furnace or by applying an AC electrical field of sufficient magnitude, such as high local current passing between particles resulting in  
15 heating of local contact points and fusing the particles together.  
20

From another aspect of the invention, the nano particles are coated by dye. The coating is applied by  
25 immersing the electrode into the dye solution and applying an electrical field that promotes movement of charged dye in the solution towards the nano-oxide layer and subsequent bonding of dye molecules to the said nano-oxide particles.

30.

In one embodiment in accordance with this aspect of the invention, the application of dye is followed by application of another dye or of another absorber that blocks areas of the nanoparticles that had not been

-4-

covered by the first application of dye. The application of another dye or that of another absorber is conducted in the same manner as that of the first dye, e.g. - from solution and with aid of electrical field, which forces 5 the dye molecules towards the nanomaterial that is normally, but not essentially, a nano-oxide.

According to a further aspect of the invention, a barrier layer is formed on surface of the said 10 nanomaterial. For the purposes of this invention, the surface comprises that region from the interface to a depth of approximately 40 Angstroms or about 10 unit cells. The barrier layer typically comprises metal oxide, electronic properties of which differ from that of 15 original nano-particulate layer. Application of such a barrier layer is conducted in solution by creation of an electrical field that promotes movement of material, in the form of ions, of the barrier layer towards nanoparticles with subsequent deposition of this material on 20 surface of the said nano-particles.

In one embodiment in accordance with this aspect of the invention two or more such materials with different electronic properties are deposited.

In a further embodiment in accordance with this 25 aspect of the invention, the substrate is subsequently treated by heat and/or by oxygen to ensure stable bonding of the deposited material to the nano-particles and/or oxidation of the deposited material.

30 From another aspect of the invention an electrolytic treatment is used to modify surface properties of a nanomaterial. In one embodiment a clean and active surface of the nano-particles is achieved by electrolytic

-5-

dissolution of surface material. In another embodiment an electrolytic oxidation ensures carbon free layers of the nano-particulate material.

5 From yet another aspect of the invention the electrolytic deposition as disclosed above is conducted under constant current conditions with imposed voltage limits, such as when voltage reaches a predefined limit (measured with respect to the reference electrode) a control circuitry  
10 switches from the constant current to the constant voltage mode, keeping the constant voltage mode until either current drops below a predetermined value or a predetermined amount of electrical charge has passed through the electrolytic solution.

15

It has been found advantageous to perform the said deposition in a series of cycles with progressive increase of charge transferred through the electrolyte solution. Each cycle comprises an insertion and extraction half-cycle. During the insertion half-cycle a material to be deposited is promoted by an electrical field towards the nanomaterial. During the extraction half-cycle the material is removed from the nanomaterial.

Both insertion and extraction half-cycle are performed  
25 under current limiting conditions until the voltage reaches a voltage preset magnitude, continuing deposition under voltage limiting conditions until either the deposition current falls to a current preset magnitude or a preset charge has been delivered, and then terminating deposition.

30 It has been found advantageous to superimpose the constant current/constant voltage insertion/extraction mode with an

-6-

applied AC electrical field. In one example the AC electrical field is applied parallel to the substrate, in another example - perpendicular to the substrate.

5 BRIEF DESCRIPTION OF DRAWINGS

Having broadly portrayed the nature of the present invention, embodiments thereof will now be described by way of example and illustration only. In the following 10 description, reference will be made to the accompanying drawings in which:

Figure 1 is a diagrammatic representation of a set-up for electrolytic treatment of nano-particulate 15 electrode.

Figure 2 demonstrates comparative photovoltaic performance of treated and untreated DSC electrode at 0.3 sun.

20

DETAILED DESCRIPTION OF EXAMPLES

Referring to Fig.1 a working nano-particulate electrode 25 comprises a substrate 1 and a nano-particulate layer of a nanomaterial 2. The working electrode is inserted into an electrolyte 6 in such a way that regions of the nano-particulate layer that are selected for the electrolytic treatment are covered by the solution. A reference 30 electrode 3 is located in close proximity to the nano-particulate layer 1. A counter electrode 4 is opposing the working electrode. Shape and position of the counter electrode are selected to ensure uniform electrical field between the counter and the working electrodes. All the 3

-7-

electrodes are connected to a programmable potentiostat 5.

The following materials are presented in this example:

Working electrode : 12-15 microns thick nano-particulate  
5 layer of titanium dioxide (titania) deposited on a  
conducting glass substrate (3mm thick Pilkington TEC-15  
glass).

10 The titania layer (approximately 11mm x 8mm) was formed by  
screen printing of titania paste followed by firing at  
maximum of 550 C to achieve good sintering and  
interconnection of the titania particles (average particle  
size was 12-15nm). The working electrode was prepared  
using the standard for Dye Solar Cell technology process,  
15 which is available in the prior art.

The electrolytic treatment of the working electrode was  
performed as follows:

20 Reference electrode: Ag/AgCl standard micro-reference  
electrode.

Counter electrode: Pt wire mesh

Electrolyte: 1.4g of YCl<sub>3</sub>.6H<sub>2</sub>O dissolved in 10ml of iso-  
propanol; 1ml of water was added to the solution.

25 Electrical characteristics:

- Current density = 0.1 mA/cm<sup>2</sup>,
- 5 full cycles with small charging level (5mC/cm<sup>2</sup> in  
the insertion half-cycles),
- 30 • 5 full cycles with intermediate charging level ..  
(10mC/cm<sup>2</sup> in the insertion half-cycles),
- 1 insertion half-cycle - charge of 10mC/cm<sup>2</sup>.

- 8 -

*Post-treatment:*

Following the electrolytic treatment a standard DSC dye was applied to the treated nano-particulate titania and 5 standard cells were constructed. In some cases, the working electrodes were post-fired at various temperatures. Photovoltaic testing at 0.3 sun demonstrated significant improvement in open circuit voltage and fill factor for the treated samples. The maximum efficiency was 10 achieved for the sample, which was post-fired at 250 C (shown in Fig. 2).

Referring to Fig. 2 a photovoltaic tests of treated and untreated electrode for DSC demonstrate that the 15 electrolytic treatment results in significant improvement of photovoltaic voltage and power.

20

25

30

-9-

Claims:

1. A method for manufacturing a nano-particulate electrode for Dye Solar Cells including the steps of providing an electrically conductive substrate, formation of a nanoparticulate layer on the substrate, application of dye to the nanoparticulate layer and an additional step of electrolytic treatment of the nanoparticulate layer in an electrolyte.
2. A method according the claim 1, wherein the electrolyte contains ions chemically different to the nano-particulate layer and the said electrolytic treatment comprises transfer of material from the electrolyte in the form of ions into the surface of the nano-particulate layer resulting in formation of a barrier layer, electronic properties of which differ from that of the original nano-particulate layer.
3. A method according to claim 2, wherein the said electrolytic treatment is followed by heating to ensure stable bonding of the barrier layer to the nano-particulate layer.
4. A method according to claim 1, wherein the said electrolytic treatment comprises partial removal of material from the nanoparticulate layer to the electrolyte.
5. A method according to claim 1, wherein the electrolyte contains ions of UV, visual light and/or Infra red absorbing material.
6. A method according to claim 4, wherein the absorbing material is dye.
7. A method according to any of the preceding claims

-10-

wherein the nano-particulate layer comprises a metal or mixed metal oxide.

8. A method according the claim 7, wherein the metal oxide is titanium dioxide.
- 5 9. A method for manufacturing nanoparticulate electrode for DSC including the steps of providing a substrate, electrolytic deposition of the nanoparticulate layer from an electrolyte and application of dye to the nanoparticulate layer.
- 10 10. A method according to any of the preceding claims wherein the electrolytic treatment includes at least one step of transfer of a predetermined amount of electrical charge between the electrolyte and the nanoparticulate layer.
- 15 11. A method according to claim 10, wherein the charge is transferred under constant current conditions with imposed voltage limits, such as when voltage reaches the imposed limit a control circuitry switches from the constant current to the constant voltage mode, keeping the constant voltage mode until either the current drops below a predetermined current value or the predetermined amount of electrical charge has passed between the electrolyte solution and the nanoparticulate electrode.
- 20 12. A method according to claim 10 and claim 11 wherein the electrolytic treatment includes at least 2 subsequent steps (half-cycles), each transferring the predetermined amount of charge; in the first half-cycle the charge is transferred by movement of ions from the electrolyte to the nanoparticulate layer, in the second half-cycle - from the nanoparticulate layer to the electrolyte.
- 25 13. A method according to claim 12, wherein the

-11-

electrolytic treatment includes at least 2 cycles and predetermined charge in the second cycle is larger than that in the first cycle.

5

10

15

20

25

30